

**Headline:** List of securities which fulfilled the market surveillance criteria

**Security Symbol:** ATP30, DAII, KC, LIVE, MAI, SET

Announcement Details

**Level 1 : Cash Balance**

Security	Symbol	Start date	End date
ATP30 PUBLIC COMPANY LIMITED	ATP30	02-Nov-2015	11-Dec-2015
DAII GROUP PUBLIC COMPANY LIMITED	DAII	02-Nov-2015	11-Dec-2015
K.C. PROPERTY PUBLIC COMPANY LIMITED	KC	02-Nov-2015	11-Dec-2015
Extended Period			
LIVE INCORPORATION PUBLIC COMPANY LIMITED	LIVE	02-Nov-2015	20-Nov-2015
Warrant of LIVE INCORPORATION PUBLIC COMPANY LIMITED No. 2	LIVE-W2	02-Nov-2015	20-Nov-2015

**Level 2 : Excluded from credit limit and Cash Balance**

Security	Symbol	Start date	End date
-	-	-	-
Extended Period			
-	-	-	-

**Level 3 : Prohibit Net settlement, Excluded from credit limit and Cash Balance**

Security	Symbol	Start date	End date
-	-	-	-
Extended Period			
-	-	-	-

Remark:

1. Cash balance means each member must ensure that its customers pay the full amount in cash prior to trading.
2. Excluded from credit limit means the member must not use the security as collateral in the calculation of the customer's credit line in all types of account.
3. Prohibit Net Settlement means the member must not offset the trading value of buy amount and sell amount of a certain security on a given day. (The amount received from sale of the particular security will be credited back on the following day.)

Please note that the main factors used as criteria for the restricted securities above are the turnover ratio, the trading value and the price not corresponding with the fundamental factors such as P/E ratio, etc.